

# UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

DATE MAILED: 03/22/2005

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/612,607	07/07/2000	Daniel E Grupp	003771.P001D	9241
75	90 03/22/2005		EXAM	INER
Tarek N Fahmi			WILLE, DOUGLAS A	
Blakely Sokolo	ff Taylor & Zafman LLP			
12400 Wilshire Boulevard			ART UNIT	PAPER NUMBER
Seventh Floor			2814	
Los Angeles C	'A 90025-1026			

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)				
Office Action Summary	09/612,607	GRUPP, DANIEL E				
	Examiner	Art Unit				
	Douglas A. Wille	2814				
The MAILING DATE of this communication a Period for Reply	ppears on the cover sheet with the o	orrespondence address				
A SHORTENED STATUTORY PERIOD FOR REP THE MAILING DATE OF THIS COMMUNICATION  - Extensions of time may be available under the provisions of 37 CFR after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a re - If NO period for reply is specified above, the maximum statutory perions  - Failure to reply within the set or extended period for reply will, by state - Any reply received by the Office later than three months after the mail - earned patent term adjustment. See 37 CFR 1.704(b).	1.  1.136(a). In no event, however, may a reply be tined thin the statutory minimum of thirty (30) day will apply and will expire SIX (6) MONTHS from the cause the application to become ABANDONE	nely filed  s will be considered timely.  the mailing date of this communication.  D (35 U.S.C. § 133).				
Status						
1) Responsive to communication(s) filed on <u>24 January 2005</u> .						
	nis action is non-final.					
3) Since this application is in condition for allow	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.					
Disposition of Claims						
4)  Claim(s) 23-25 is/are pending in the applicat 4a) Of the above claim(s) is/are withdr 5)  Claim(s) is/are allowed. 6)  Claim(s) 23-25 is/are rejected. 7)  Claim(s) is/are objected to. 8)  Claim(s) are subject to restriction and	rawn from consideration.					
Application Papers						
9)☐ The specification is objected to by the Examiner.						
10) The drawing(s) filed on is/are: a) □ ad	☐ The drawing(s) filed on is/are: a)☐ accepted or b)☐ objected to by the Examiner.					
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).						
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.						
Priority under 35 U.S.C. § 119						
12) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of:  1. Certified copies of the priority docume 2. Certified copies of the priority docume 3. Copies of the certified copies of the priority docume application from the International Bure * See the attached detailed Office action for a list	nts have been received. nts have been received in Applicati iority documents have been receive au (PCT Rule 17.2(a)).	on No ed in this National Stage				
	•					
Attachment(s)	•	•				
1) Notice of References Cited (PTO-892)	4) Interview Summary	(PTO-413)				
2) Notice of Draftsperson's Patent Drawing Review (PTO-948)	Paper No(s)/Mail Da	Paper No(s)/Mail Date				
<ol> <li>Information Disclosure Statement(s) (PTO-1449 or PTO/SB/0 Paper No(s)/Mail Date</li> </ol>	(8) 5) ☐ Notice of Informal F 6) ☐ Other:	Patent Application (PTO-152)				

Application/Control Number: 09/612,607 Page 2

Art Unit: 2814

## **DETAILED ACTION**

## Claim Rejections - 35 USC § 102

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.
- 2. Claims 23 25 are rejected under 35 U.S.C. 102(e) as being anticipated by Ellenbogen.
- 3. With respect t o claim 23, Ellenbogen shows a three terminal device (see Figure 3 A D and column 9, line 7 et seq.) with a pair of tunnel junctions and a molecule which is effectively an island and having energy bands of molecular orbitals. The molecules function as islands and there is a quasi-continuum of energy states. The barriers inherently have a resistance less than or equal to the quantum resistance.
- 4. With respect to claim 24 the energy states are shifted by application of a voltage (column 9, line 51) with an electrode capacitively coupled.
- 5. With respect to claim 25, a current is passed (column 9, line 57).

## Response to Arguments

- 1. Applicant's arguments filed 1/24/05 have been fully considered but they are not persuasive.
- 2. Applicant argues that Ellenbogen does not show islands but the structure shown in the Figures function as islands and the claimed features are shown.

Art Unit: 2814

#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Douglas A. Wille whose telephone number is (571) 272-1721. The examiner can normally be reached on M-F (6:15-2:45).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Wael Fahmy can be reached on (571) 272-1705. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Douglas A. Wille Primary Examiner